



## **REGULATORY COMPLIANCE ASSESSMENT**

Business Vitals' Regulatory Compliance Assessment service offers a proactive assessment of how your company complies with the ever increasing array of regulatory requirements that exist today such as:

- Health Insurance Portability and Accountability Act of 1996 imposed regulations on group and individual health insurance plans
- Gramm-Leach-Bliley Act (GLBA) of 1999 imposed regulations on mergers and acquisitions of financial institutions
- Sarbanes-Oxley Act (SOX) of 2002 imposed regulations on US public companies' boards of directors, management and accounting firms
- Federal Information Security Management Act (FISMA) of 2002 imposed regulations on computer and network security in the Federal government and affiliated parties
- Payment Card Industry (PCI) Data Security Standards imposed regulations on the processing of credit card payments

Some of our Clients engage us to perform the annual assessments that are required in these regulations. Other Clients just want an objective assessment of the scope of work needed to become compliant.

### ***Engagement Objectives:***

- Understanding of the appropriate regulations and their requirements as they relate to your IT and network infrastructures
- Assessment of your level of compliance with the appropriate regulations
- Identification of areas of suspected non-compliance
- Recommendations for actions needed to get into regulatory compliance

### ***Benefits:***

- An understanding of the regulations you must comply with
- A report identifying the areas of non-compliance
- Recommendations of actions to be taken to become compliant
- A relationship with a qualified and certified 3<sup>rd</sup> party who can help with future compliance issues

### **Most Frequently Asked Questions (FAQ):**

1. How do I engage Business Vitals to help me with a compliance assessment?

When you contact Business Vitals about a compliance assessment, a security consultant will meet with you to identify and understand your requirements, and draft a statement of work that accurately describes the work to be done. The statement of work will include a time line and the cost for performing the work. The consultant will review the statement of work with you to confirm that it accurately describes the work to be done, and after it is signed by both parties, the work is scheduled.

2. What will my company get from a compliance assessment?

You will get the undivided attention of a qualified and certified business partner to identify the regulations that apply to your business and to assess and document your current state of compliance. All of this will be fully documented in a clear and concise report along with recommendations for steps to be taken to become compliant.



*Outsource Your Technology Risk™*

888.287.8483 ext. 102

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